



Contractor Prequalification Form

Thank you for your interest in the Niagara Falls Bridge Commission ('Commission'). This form is to be completed by organizations ('Contractor(s)') that tender directly to the Commission, however, the Commission requires Contractors to submit a list of their proposed Subcontractors with tenders and reserves the right to reject any Subcontractor. The Commission may request that a Subcontractor submit a Contractor Prequalification Form in order to determine if the Subcontractor is qualified to work on a Commission project(s). All prospective Contractors are required to complete this prequalification form prior to tendering on Commission projects and be re-qualified every 3 years. Prequalified Contractors are required to notify the Commission of any changes in their organization such as ownership, legal activity/lawsuits, safety record, environmental record and financial/bonding capacity; failure to notify the Commission of changes in these or other areas is grounds for revocation of a Contractor's prequalified status.

All information provided in this questionnaire is considered confidential and will be used solely to determine your firm's qualifications and will not be shared with others. The completed form and any questions should be directed to (email is preferred):

Niagara Falls Bridge Commission.
5365 Military Road
Lewiston, NY 14092

Attn: John Mahar, Chief Construction Officer
Email: jmahar@niagarafallsbridges.com
Phone: US 716-285-6322 x4133
CN 905-354-5641 x4133
Fax: US 716-205-0672
CN 905-353-4082

I. General Information

Date: _____

Company Name: _____

Address: _____

Phone: _____ Fax: _____

Email: _____

Website: _____

Ownership/Principals:

Name: _____ Title: _____ Phone: _____ Email: _____

Name: _____ Title: _____ Phone: _____ Email: _____

Name: _____ Title: _____ Phone: _____ Email: _____



How many years has your organization been in business as a contractor? _____

How many years has your organization been in business under its present business name? _____

Under what other former names has your organization operated? _____

How many people does your organization presently employ?

Office _____ Field/Supervisory _____ Trades People _____

How many people did your organization employ on average for the last 3 years?

Office _____ Field/Supervisory _____ Trades People _____

II. Work Scope

Indicate what scope(s) of work your organization typically performs. If you self-perform a scope mark with an 'SP', if you subcontract the work to others mark with an 'SC'.

General Categorization

_____ General Contractor _____ Construction Manager _____ Site/Civil/Infrastructure

_____ Bridge Specialty Contractor _____ Other (specify)

Site Work

_____ Site Work Subcontractor _____ Asbestos & Lead Abatement _____ Building Demolition

_____ Site Remediation/Environmental _____ Trucking _____ Tunneling & Boring

_____ Piling & Caissons _____ Railroad Tracks _____ Paving

_____ Curbs & Sidewalks _____ Utility Services _____ Fences & Appurtenances

_____ Landscaping & Planting _____ Bridge Abutment _____ Bridge Deck

_____ Rock Scaling (Specialty Work) _____ Rock Stabilization (Specialty Work)

_____ Retaining Walls _____ Sound Walls



Concrete

- | | | |
|--------------------------------|--|-------------------------|
| _____ Concrete Subcontractor | _____ Basic Concrete Materials & Methods | _____ Concrete Repair |
| _____ Reinforcing Bar Material | _____ Reinforcing Bar Labor | _____ Hollow Core Plank |
| _____ Structural Precast | _____ Architectural Precast | _____ Gypsum Topping |
| _____ Gunnite | _____ Parking Garage Rehab | |
| _____ Bridge Deck Topping | _____ Bridge Deck Repair | |

Masonry

- | | |
|--------------------------------------|---|
| _____ Masonry Subcontractor | _____ Basic Masonry Materials & Methods |
| _____ Masonry Restoration & Cleaning | |

Metals

- | | | |
|---------------------------------------|--------------------------------|---------------------------------|
| _____ Structural Steel Subcontractor | _____ Erect Only Subcontractor | _____ Structural Steel |
| _____ Steel Joists | _____ Metal Decking | _____ Cold Formed Metal Framing |
| _____ Metal Fabrication | _____ Castings | _____ Expansion Control |
| _____ Bridge Structure/Rehabilitation | _____ Gantry Signage | |

Woods & Plastics

- | | | |
|-------------------------------|---|----------------------------------|
| _____ Carpentry Subcontractor | _____ Basic Wood & Plastic Material & Methods | |
| _____ Rough Carpentry | _____ Heavy Timber Construction | |
| _____ Glued-Laminated Timber | _____ Finish Carpentry | _____ Granite/Quartz Countertops |

Thermal/Moisture Protection

- | | | |
|-------------------------------------|------------------|----------------|
| _____ Damp Proofing & Waterproofing | _____ Insulation | _____ E.I.F.S. |
|-------------------------------------|------------------|----------------|



- _____ Roof Shingles
- _____ Membrane Roofing System
- _____ Insulated Metal Panels
- _____ Metal Panels
- _____ Joint Sealers (Building)
- _____ Roof Accessories
- _____ Flashings & Sheetmetal
- _____ Siding
- _____ Metal Siding
- _____ Spray Fireproofing & Smoke Protection
- _____ Joint Sealers (Concrete Paving)

Doors & Windows

- _____ Metal Doors & Frames
- _____ Access Doors
- _____ Entrances & Storefronts
- _____ Automatic Door Operators
- _____ Finish Hardware
- _____ Wood Doors & Frames
- _____ Coiling Doors
- _____ Curtain Wall
- _____ Windows
- _____ Glass & Glazing
- _____ Specialty Doors
- _____ Folding Doors
- _____ Overhead Doors
- _____ Skylights

Finishes

- _____ Plaster & Gypsum Subcontractor
- _____ Vinyl Flooring & Carpet
- _____ Painting & Coatings(Buildings)
- _____ Ceramic Tile
- _____ Terrazzo Flooring
- _____ Bridge Painting
- _____ Ceilings

Specialties

- _____ Chalk & Tack Boards
- _____ Grilles/Screens
- _____ Identification Devices/Signage
- _____ Wire Mesh Partitions
- _____ Toilet, Bath & Laundry Accessories
- _____ Scales
- _____ Compartments & Cubicles
- _____ Wall & Corner Guards
- _____ Lockers & Benches
- _____ Operable Partitions
- _____ Site Way-Finding Signage
- _____ Louvers & Vents
- _____ Access Flooring
- _____ Storage Shelves/Racking
- _____ Flagpoles
- _____ LED Signage/Variable Message Signs
- _____ Fire Protection Specialties



Equipment

_____ Projection Screens _____ Parking Control Equip. _____ Loading Dock Equipment
_____ Emergency/Standby Generator _____ Toll Gates

Furnishings

_____ Manufactured Casework _____ Recessed Floor Mats _____ Blinds & Shades
_____ Furniture & Accessories

Special Construction

_____ Radiation Protection _____ Pre-Engineered Metal Building Systems
_____ Solar and Wind Energy _____ Kiosks/Toll Booths/Inspection Booths

Conveying Systems

_____ Elevators _____ Wheelchair Lifts _____ Vehicle Lifts _____ Hoists & Cranes

Mechanical

_____ Fire Protection Systems _____ Plumbing _____ HVAC

Electrical

_____ Electrical _____ Data/Communications _____ Security/Access Control
_____ CCTV _____ Fire Alarm _____ High Mast Pole
_____ Site Electrical/Infrastructure _____ Traffic Counting Loops
_____ Camera Poles _____ UPS/Power Protection



Specialty Work Not Listed Above (Please Describe)

Is your Company: _____ Union Shop _____ Non-Union Shop

III. Claims and Suits (If the answer to any of the questions below is yes, please attach details)

Has your organization ever failed to complete any work awarded to it? _____

Are there any judgments, claims, arbitration proceedings or suits pending or outstanding against your organization or its officers? _____

Has your organization filed any law suits or requested arbitration with regard to construction contracts within the last five years? _____

Within the last five years, has any officer or principal of your organization ever been an officer or principal of another organization when it failed to complete a construction contract? _____

IV. Experience

On a separate sheet, list major construction projects your organization has in progress, giving the name of the project owner and contact, architect/engineer and contact, contract amount, percent complete and scheduled completion date.

On a separate sheet, list the major projects your organization has completed in the past five years, giving the name of the project owner and contact, architect/engineer and contact, contract amount, date of completion and percentage of the cost of the work performed with your own forces.

On a separate sheet, provide resumes of the key individuals of your organization.



V. Safety

For US Contractors:

What is your organization's EMR for the past 5 years?

YR/EMR _____ YR/EMR _____ YR/EMR _____

YR/EMR _____ YR/EMR _____

Provide copies of your organization's OSHA 300 Log or equivalent form for the last 5 years.

Has your organization had any OSHA violations in the past 5 years? _____
If so explain on a separate sheet.

For Canadian Contractors:

Provide copies of your organization's WSIB CAD-7 for the past 5 years.

Provide copies of your organization's Worker Injury Summary Reports for the past 5 years.

Has your organization had any Ministry of Labour violations in the past 5 years? _____
If so explain on a separate sheet.

VI. Environmental

Has your organization been accused of or convicted for any environmental violations with the Province of Ontario, New York State or any other government body in the past 5 years? _____
If so explain on a separate sheet.



VII. Financial Information

Bank: _____

Phone: _____

Contact: _____

Email: _____

Provide a letter of reference from your bank indicating your organization is in good standing and all accounts are current.

Indicate the size of project (value of your organization's contract) you are most competitive in performing. Rank by preference (1, 2, 3, etc). Mark as 'N/A' ranges of work you do not perform.

Under \$100,000 _____

\$100,000 - \$500,000 _____

\$500,000 - \$1,000,000 _____

\$1,000,000 - \$2,500,000 _____

\$2,500,000 - \$5,000,000 _____

\$5,000,000 - \$10,000,000 _____

\$10,000,000 – Plus _____

What is the largest contract your Organization has completed?

Amount: \$ _____ Year: _____

Project Name and Scope: _____

What is the largest dollar volume job you expect to do during this year?

Amount: \$ _____

Project Name and Scope: _____

What is your expected annual volume this year: \$ _____ # of Projects _____



What is the dollar volume of work in progress and under contract: \$ _____

What was the annual volume of work performed over the past 5 years:

Yr/Vol. _____ Yr/Vol. _____ Yr/Vol. _____
 Yr/Vol. _____ Yr/Vol. _____

If deemed necessary to properly evaluate your organization's financial capabilities the Commission may require submission of audited financial statements. The Commission will advise if financial statements are required.

VIII. Bonding

Agent/Broker: _____ Phone: _____

Contact: _____ Email: _____

Provide a letter from your Bonding Company stating your organization's Bonding Capacity on a per project basis and aggregate.

IX. Insurance

Agent/Broker: _____ Phone: _____

Contact: _____ Email: _____

A. Commercial General Liability

Insurance Carrier: _____

Limits:	Each <u>Occurrence</u>	<u>Aggregate</u>
Commercial General Liability	_____	_____
Auto Liability	_____	_____
Umbrella/Excess Liability	_____	_____

Note: The Commission's standard practice is to require a minimum of \$10 million liability coverage which can be achieved by any combination of Commercial General Liability and Excess/Umbrella coverage. Exceptions are considered on a case by case basis. Certain Projects will require even higher limits.



C. Workers Compensation and Employer's Liability

Insurance Carrier: _____

Limits (statutory limits required): \$ _____

X. Notice

Regardless of an organization's qualifications no organization shall be permitted to submit a tender for, or work on, Commission projects as either a contractor or subcontractor if it:

1. Is in arrears on any debt or contract to or with the Commission;
2. Is in litigation with the Commission;
3. Has previously been in litigation with the Commission;
4. Has had a notice of default issued against it by the Commission or consultant of the Commission arising out of or relating to any previous contract with the Commission;
5. Has demonstrated an inability to work cooperatively with representatives of the Commission and /or its consultants;
6. Has demonstrated an inability to maintain open and cooperative communications needed for the successful performance of other previous contracts with the Commission.

XI. Signature

The undersigned certifies under oath that the information provided in this prequalification statement is true and sufficiently complete so as not to be misleading.

Signed: _____

Printed Name and Title: _____

Date: _____

XII. Consent to Contact

I do/do not (circle one) hereby grant the Niagara Falls Bridge Commission consent to contact my organization regarding Invitations to Tender and any other details regarding its construction projects. This applies to communications necessary to tender, construct, and any associated close-out should my firm be awarded a project by the Commission.

Consent may be withdrawn at any time by emailing John Mahar (jmahar@niagarafallsbridges.com).